



PATENT
51270-277033

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Atsushi OGAWA, et al.

Serial No.: 10/058,435

Filed: January, 2002

**For: OPTICAL DISC RECORDER WITH RECORDING
MODALITY SWITCHING FOR HIGH QUALITY
RECORDING**

Confirmation No.: 4292

Date of Notice of Allowance:
March 17, 2006

I hereby certify that this correspondence is being facsimile transmitted to: (571)273-2885, MAIL STOP ISSUE FEE,
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on June 19, 2006.

By: 

Roger R. Wise, Reg. No. 31,204

NOTIFICATION OF INCORRECT FILING DATE ON NOTICE OF ALLOWANCE
and
REQUEST FOR CORRECTED FILING RECEIPT

MAIL STOP ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

IN THE NOTICE OF ALLOWANCE

The filing date of the subject application in the Notice of Allowance and in the filing receipt is incorrect. The correct filing date should be January 25, 2002.



PATENT
51270-277033

REMARKS

This application was filed on January 25, 2002 as evidenced by the enclosed copy of the filing transmittals. An official filing receipt was issued on March 27, 2002 which erroneously indicates that the filing date is January 30, 2002. Because of this error, a Request for Corrected Filing Receipt was immediately filed by the applicants to correct the filing date to read January 25, 2002. Subsequently, a Response to Request for Corrected Filing Receipt was issued on April 29, 2002 which indicates that pursuant to 37 C.F.R. §1.10, the Office can not comply with the request for a corrected filing receipt because a copy of the Express Mail label was not enclosed.

On May 31, 2002, a second Request for Corrected Filing Receipt was filed enclosing a copy of the Express Mail label. To date however, a corrected filing receipt has not been received up until the issuance of the Notice of Allowance on March 17, 2006.

In view of the foregoing, it is hereby requested that the filing date on the Notice of Allowance be corrected to read January 25, 2002 and a corrected filing receipt be issued bearing the same filing date. The enclosed documents are being enclosed for your reference:

- Copy of the Request for Corrected Filing Receipt filed on April 11, 2002
- Copy of the Response to Request for Corrected Filing Receipt issued on April 29, 2002
- Copy of Second Request for Corrected Filing Receipt with copy of Express Mail label and application transmittals filed on May 31, 2002.

PATENT
51270-277033

This request is being submitted so that the correct filing date of this application appears
on the issued patent.

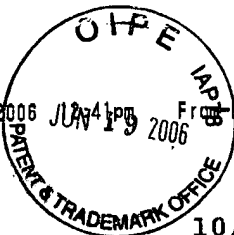
Respectfully submitted,
PILLSBURY WINTHROP SHAW PITTMAN LLP

Dated: June 19, 2006

By: 

Roger R. Wise
Registration No. 31,204
Attorney for Applicant(s)

725 South Figueroa Street, Suite 2800
Los Angeles, CA 90017-5406
Telephone: (213) 488-7100
Facsimile: (213) 629-1033



10/058435

051270-
0277033

S.N. _____ File No. _____ Date Mailed 4-11-02 By: RRW/dg

Title: OPTICAL DISC RECORDER

(Client Name) YAMAHA

The Following, due _____ in the U.S. Patent & Trademark Office was received in the Patent & Trademark Office on the date stamped hereon:

☐ Amendment
☐ Preliminary Amendment
☐ PCT Application Including
☐ Pages Spec. _____ Page Abstract. _____ Claims
☐ Application for Patent Including
☐ Pages Spec. _____ Page Abstract. _____ Claims
☐ Declaration, Affidavit of Oath (____ Page(s))
☐ Assign; Ck. No. _____ for \$ _____
☐ Verified Statement

Drawings; # of Sheets _____
☐ Formal _____ Informal
☐ Issue Fee Transmittal
☐ Letter Re _____
☐ Notice of Appeal
☐ Petition for _____
☐ Advance soft copy order
☐ Ck. No. _____ for \$ _____
☐ Certified Copy; # _____ of Docs.
☐ IDS; _____ References

☒ Letter of Transmittal Reg. for Corrected Filing Receipt
☐ Maintenance Fee Transmittal

☐ Check No. _____ For \$ _____
☐ Check No. _____ For \$ _____
☐ Check No. _____ For \$ _____

☒ Copy of Filing receipt (corrections)

10/058435

051270-
0277033

S.N. _____ File No. _____ Date Mailed 4-11-02 By: RRW/dg

Title: OPTICAL DISC RECORDER

(Client Name) YAMAHA

The Following, due _____ in the U.S. Patent & Trademark Office was received in the Patent & Trademark Office on the date stamped hereon:

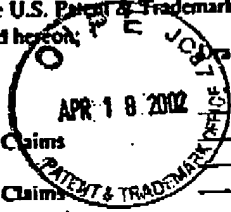
☐ Amendment
☐ Preliminary Amendment
☐ PCT Application Including
☐ Pages Spec. _____ Page Abstract. _____ Claims
☐ Application for Patent Including
☐ Pages Spec. _____ Page Abstract. _____ Claims
☐ Declaration, Affidavit of Oath (____ Page(s))
☐ Assign; Ck. No. _____ for \$ _____
☐ Verified Statement

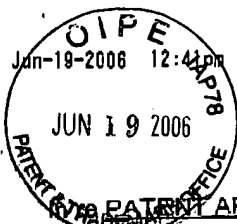
Drawings; # of Sheets _____
☐ Formal _____ Informal
☐ Issue Fee Transmittal
☐ Letter Re _____
☐ Notice of Appeal
☐ Petition for _____
☐ Advance soft copy order
☐ Ck. No. _____ for \$ _____
☐ Certified Copy; # _____ of Docs.
☐ IDS; _____ References

☒ Letter of Transmittal Reg. for Corrected Filing Receipt
☐ Maintenance Fee Transmittal

☐ Check No. _____ For \$ _____
☐ Check No. _____ For \$ _____
☐ Check No. _____ For \$ _____

☒ Copy of Filing receipt (corrections)





Jan-19-2006 12:41pm

From-PILLSBURY WINTHROP SHAW PITTMAN LLP

+2136291033

T-792 P.010

F-309

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION OF
Inventor(s): Atsushi OGAWA
Tomoaki HIRAIATTENTION: Office of Initial Patent Examination
Customer Service CenterAppln. No.: 10 | 058435
Series Code ↑ Serial No. ↑

Filed: January 25, 2002

Title: OPTICAL DISC READER

Date: April 11, 2002

Asst. Commissioner of Patents
Washington, D.C. 20231

Sir,

REQUEST FOR CORRECTED FILING RECEIPT

1. Attached is a copy of the official filing receipt from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

2. There is an error with respect to the following data which is

- | <input checked="" type="checkbox"/> incorrectly entered
Error in | and/or | <input type="checkbox"/> omitted
Correct data |
|---|--------|--|
| 1. <input type="checkbox"/> Applicant's Name | | 1. |
| 2. <input type="checkbox"/> Applicant's Address | | 2. |
| 3. <input type="checkbox"/> Title | | 3. |
| 4. <input checked="" type="checkbox"/> Filing Date | | 4. According to postcard from U.S. PTO, the correct filing date is January 25, 2002, not 01/30/2002. |
| 5. <input type="checkbox"/> Serial Number | | 5. |
| 6. <input type="checkbox"/> Foreign/PCT Application Re | | 6. |
| 7. <input type="checkbox"/> Other | | 7. |

3. It is understood that the PTO no longer charges for correcting filing receipts even when the error is applicants' mistake, but if that is incorrect and a fee is due for this correction please charge our Deposit Account No. 03-3975 under Order No. 051270/0277033

Pillsbury Winthrop LLP
Intellectual Property Group725 South Figueroa Street, Suite
2800
Los Angeles, CA 90017-5406
Tel: (213) 488-7100

By Atty: Roger R. Wise

Reg. No. 31204

Atty/Sec: RRW/dg

Sig:

Fax: (213) 629-1033

Tel: (213) 488-7584

(Attach Filing Receipt copy and PTO receipt PAT-103A)



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FILE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/058,435	01/30/2002 01-25-2002	2878	824	PW 0277033 H7620US	7	8	4

CONFIRMATION NO. 4292

FILING RECEIPT



Mr. Roger R. Wise
PILLSBURY MADISON & SUTRO LLP
Suite 1200
725 South Figueroa Street
Los Angeles, CA 90017

Date Mailed: 03/27/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Atsushi Ogawa, Hamamatsu-shi, JAPAN;
Tomoaki Hirai, Iwata-shi, JAPAN;

Domestic Priority data as claimed by applicant

Foreign Applications

JAPAN 2001-19142 01/26/2001

If Required, Foreign Filing License Granted 03/27/2002

Projected Publication Date: 08/01/2002

Non-Publication Request: No

Early Publication Request: No

Title

Optical disc recorder

Preliminary Class

RECEIVED

PILLSBURY WINTHROP LLP/LA

APR 03 2002

CL# 051270 MT# 0277033
ATTY(S) CREW - YAMAMOTO
DUE: N/A
DKT BY (1) JF (2)

250

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

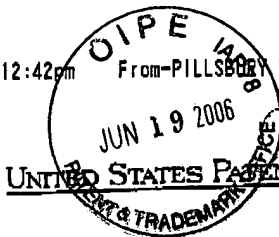
Jun-19-2006 12:42pm

From-PILLSBURY WINTHROP SHAW PITTMAN LLP

+2136291033

T-792 P.013

F-309



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, DC 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
10/058,435	01/30/2002	Atsushi Ogawa	PW 0277033 H7620US

CONFIRMATION NO. 4292

Mr. Roger R. Wise
PILLSBURY MADISON & SUTRO LLP
Suite 1200
725 South Figueroa Street
Los Angeles, CA 90017



OC000000007971880

Date Mailed: 04/29/2002

RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT

Application Filing Date

In response to your request for a corrected Filing Receipt, the Office can not comply with your request because:

- ☐ If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, a petition to accord a filing date should be submitted along with a copy of the Express Mail label showing the "date in" and be directed to the Office of Petitions.
- ☒ A copy of the Express Mail label was not enclosed with your request. Petitions under 37 CFR 1.10 require a copy of the Express Mail Label to be submitted.
- ☐ The "date in" on the Express Mail label is the date given on the Filing Receipt.
- ☐ The filing date accorded to the application is the date the application met the filing date requirements of 37 CFR 1.53(b) or (c).
- ☐ Applications submitted with a certificate of mailing under 37 CFR 1.8 are accorded a filing date as of the date the application is received in the U.S. Patent and Trademark Office. A request to review the filing date accorded to the application must be in the form of a petition.
- ☐ The filing date on your return postcard is the same as the date on your Filing Receipt. A request to review the filing date accorded to the application must be in the form of a petition.

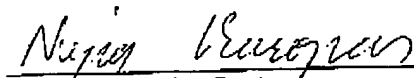
RECEIVED
PILLSBURY WINTHROP LLP

MAY 06 2002

CL# 51270 MT# 27703
ATTY(S) YAMATA - PRD

A petition under 37 CFR 1.10, 37 CFR 1.53 or 37 CFR 1.182 is needed to request a change to the filing date. A \$130 petition fee is required for a petition under 1.53 or 1.182. If you choose to file a petition, the petition and the \$130 petition fee, if any, to:

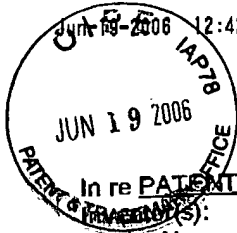
Box DAC
Commissioner for Patents
Washington, DC 20231


Customer Service Center
Initial Patent Examination Division
(703) 308-1202



S.N. 10/058,435 File No. 0277033 Date Mailed 5-31-02 By: RRW/MSG
 Title: OPTICAL DISC RECORDER
 (Client Name) YAMAHA CORP.
 The Following, due _____ in the U.S. Patent & Trademark Office was received in the Patent & Trademark Office on the date stamped hereon:
☐ Amendment
☐ Preliminary Amendment
☐ PCT Application Including
 Pages Spec. _____ Page Abstract. _____ Claims
☐ Application for Patent Including
 Pages Spec. _____ Page Abstract. _____ Claims
☐ Declaration, Affidavit of Oath (____ Page(s))
☐ Assign; Ck. No. _____ for \$ _____
☐ Verified Statement
☒ Letter of Transmittal REQUEST FOR CORRECTED
☐ Maintenance Fee Transmittal FILING RECEIPT
☐ Check No. _____ For \$ _____
☐ Check No. _____ For \$ _____
 Drawings; # of Sheets _____
☐ Formal _____ Informal _____
☐ Issue Fee Transmittal
☐ Letter Re _____
☐ Notice of Appeal
☐ Petition for _____
☐ Advance soft copy order
☐ Ck. No. _____ for \$ _____
☐ Certified Copy: # _____ of Docs.
☐ IDS: _____ References
☒ COPY OF CERTIFICATE
☐ OF MAILING
☒ COPY OF FILING RECEIPT

S.N. 10/058,435 File No. 0277033 Date Mailed 5-31-02 By: RRW/MSG
 Title: OPTICAL DISC RECORDER
 (Client Name) YAMAHA CORP.
 The Following, due _____ in the U.S. Patent & Trademark Office was received in the Patent & Trademark Office on the date stamped hereon:
☐ Amendment
☐ Preliminary Amendment
☐ PCT Application Including
 Pages Spec. _____ Page Abstract. _____ Claims
☐ Application for Patent Including
 Pages Spec. _____ Page Abstract. _____ Claims
☐ Declaration, Affidavit of Oath (____ Page(s))
☐ Assign; Ck. No. _____ for \$ _____
☐ Verified Statement
☒ Letter of Transmittal REQUEST FOR CORRECTED
☐ Maintenance Fee Transmittal FILING RECEIPT
☐ Check No. _____ For \$ _____
☐ Check No. _____ For \$ _____
☐ Check No. _____ For \$ _____
☒ COPY OF TRACKING RECEIPT FROM
 THE U.S. POST OFFICE
 Drawings; # of Sheets _____
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☐ Issue Fee Transmittal
☐ Letter Re _____
☐ Notice of Appeal
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☐ Advance soft copy order
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☐ IDS: _____ References
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☒ COPY OF FILING RECEIPT



JUN 19 2006 12:42pm

From-PILLSBURY WINTHROP SHAW PITTMAN LLP

+2136291033

T-792 P.016

F-309

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re PATENT APPLICATION OF

Inventor(s): Atsushi OGAWA, et. al.

Appln. No.: 10 058,435

Series Code ↑ Serial No. ↑

Filed: January 25, 2002

Title: OPTICAL DISC RECORDER

ATTENTION: Office of Initial Patent Examination
Customer Service Center

Date: May 31, 2002

Asst. Commissioner of Patents
Washington, D.C. 202031

Sir:

REQUEST FOR CORRECTED FILING RECEIPT

1. Attached is a copy of the official filing receipt from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

2. There is an error with respect to the following data which is

☒ incorrectly entered
Error in

and/or

☐ omitted

Correct data

1. ☐ Applicant's Name

1.

2. ☐ Applicant's Address

2.

3. ☐ Title

3.

4. ☒ Filing Date

4.

Filing date should be January 25, 2002. Filing receipt indicates January 30, 2002 as the filing date but the application was actually filed and mailed on January 25, 2002 as shown on the attached copies of the certificate of express mail and the tracking receipt from the U.S. Post Office, both dated January 25, 2002

5. ☐ Serial Number

5.

6. ☐ Foreign/PCT Application Re

6.

7. ☐ Other

7.

3. It is understood that the PTO no longer charges for correcting filing receipts even when the error is applicants' mistake, but if that is incorrect and a fee is due for this correction please charge our Deposit Account No. 16-1805 under Order No. 051270-0277033

Pillsbury Winthrop LLP
Intellectual Property Group

725 South Figueroa Street, Suite
2800
Los Angeles, CA 90017-5406
Tel: (213) 488-7100

By Atty: Roger R. Wise

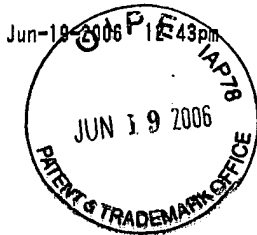
Reg. No. 31204

Sig:

Fax: (213) 629-1033
Tel: (213) 488-7584

Atty/Sec: RRW/msg

(Attach Filing Receipt copy and PTO receipt PAT-103A)



From-PILLSBURY WINTHROP SHAW PITTMAN LLP

+2136291033

T-792 P.017

F-309

POST OFFICE
TO ADDRESSEE

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ORIGIN (POSTAL USE ONLY)	
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Date 1250	Postage \$16.25
Time 3:20 PM	Return Receipt Fee
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No Delivery <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday <input type="checkbox"/>	Int'l Alpha Country Code
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TO: (PLEASE PRINT) Assistant Commissioner of Patents Box Patent Application Washington, D.C. 20231	

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817

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PATENT
0277033**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**In re application
OGAWA, et al.

Serial No.: Not Assigned

Filed: January 25, 2002

Group No.: Not Assigned

Examiner: Not Assigned

For: **OPTICAL DISC RECORDER****CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL**

"Express Mail" Mailing Label No. EL 860 913 276 US.

Date of Deposit: January 25, 2002

Box PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

I hereby certify that

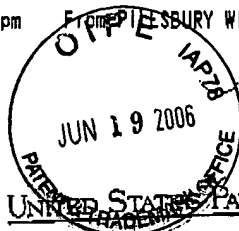
- ☒ Letter of transmittal
- ☒ Patent application (19 pages of specification; 8 claims; 1 pages of abstract)
- ☒ Checks in the amount of \$824.00 to cover the filing fee
- ☒ A Declaration/Power of Attorney
- ☒ 7 sheets of formal drawings
- ☒ Return postcard
- ☒ An Assignment of the invention with cover sheet and \$40.00 recordation fee
- ☒ Certified copies of (1) Japanese patent application from which priority is claimed

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 CFR 1.10 on the date indicated above and are addressed to:

Box PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231.January 25, 2002

Date of Deposit

Paula Dunn



UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/058,435	01/30/2002 01/25/2002	2878	824	PW 0277033 H7620US	7	8	4

CONFIRMATION NO. 4292

FILING RECEIPT

OC00000007731308

 Mr. Roger R. Wise
 PILLSBURY MADISON & SUTRO LLP
 Suite 1200
 725 South Figueroa Street
 Los Angeles, CA 90017

Date Mailed: 03/27/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

 Atsushi Ogawa, Hamamatsu-shi, JAPAN;
 Tomoaki Hirai, Iwata-shi, JAPAN;

Domestic Priority data as claimed by applicant

Foreign Applications

JAPAN 2001-19142 01/26/2001

If Required, Foreign Filing License Granted 03/27/2002

Projected Publication Date: 08/01/2002

Non-Publication Request: No

Early Publication Request: No

Title

Optical disc recorder

Preliminary Class

RECEIVED

PILLSBURY WINTHROP LLP/LA

APR 03 2002

 CL# 051270 MT# 0277033
 ATTY(S) REW-YAMAMOTO
 DUE: N/A
 DKT BY (1) JF (2)